

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jeffrey J. Kalis, Frederick G. Robinson and Richard Van Dyk
For : CONTROL SYSTEM FOR COMPACT DISC
PHONOGRAPH
Atty Docket No. : ROW02 P-382A

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR 1.51, 1.56, 1.97 and 1.98, Applicants submit herewith patents, publications or other information listed on attached Form PTO-1449 for consideration by the Examiner in connection with examination of the present application.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

Under 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The Examiner is kindly requested to consider the United States patents, foreign patent publications, and other documents listed on the attached PTO-1449 Form during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the undersigned attorney.

United States Patent Nos. 6,714,489; 6,212,138 and 6,639,876 issued to Kalis et al. and United States Patent No. 5,031,346 issued to Herring et al. are commonly assigned with the present application.

Applicants : Jeffrey J. Kalis et al.
Title : CONTROL SYSTEM FOR COMPACT DISC PHONOGRAPH
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Pursuant to 37 CFR §198(d), separate copies of the references listed on form PTO 1449 are not being provided. Copies of these references may be found in the priority applications, which have been assigned Serial Nos. 10/405,591 (filed April 2, 2003); 09/810,760 (filed March 16, 2001); 08/351,044 (filed November 28, 1994); and 07/383,745 (filed July 20, 1989).

Respectfully submitted,

JEFFREY KALIS ET AL.

By: Van Dyke, Gardner, Linn & Burkhart, LLP

Dated: March 30, 2004.


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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | ATTY. DOCKET NO. ROW02 P-382A | SERIAL NO. |
| | APPLICANTS: Kalis, Jeffrey J. Van Dyk, Richard Robinson, Frederick G. | |
| | FILING DATE | GROUP |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPROPRIATE |
|---------------------|--|-----------------|---|---|---|---|---|---|-------|-----------------|------|-------|---------------|-------------------------------|
| | | | | | | | | | | | | | | |
| | | 6 | 6 | 3 | 9 | 8 | 7 | 6 | 10/03 | Kalis et al. | | | | |
| | | 6 | 2 | 1 | 2 | 1 | 3 | 8 | 4/01 | Kalis et al. | | | | |
| | | 5 | 0 | 3 | 1 | 3 | 4 | 6 | 7/91 | Herring et al. | | | | |
| | | 4 | 8 | 0 | 0 | 5 | 9 | 9 | 01/89 | Yamagami et al. | | | | |
| | | 4 | 6 | 7 | 7 | 6 | 0 | 2 | 06/87 | Okano et al. | | | | |
| | | 4 | 2 | 5 | 8 | 8 | 3 | 8 | 03/81 | Rockola et al. | | | | |
| | | 4 | 0 | 9 | 4 | 0 | 1 | 0 | 06/78 | Pepperl et al. | | | | |
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| | | 3 | 3 | 1 | 1 | 3 | 7 | 8 | 03/67 | Clark | | | | |
| | | 2 | 6 | 2 | 7 | 4 | 1 | 5 | 02/53 | Rasmussen | | | | |
| | | 6 | 7 | 1 | 4 | 4 | 8 | 8 | 03/04 | Kalis et al. | | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION | |
|---------------------|--|-----------------|---|---|---|---|---|---|----------|---------|-------|---------------|-------------|----|
| | | | | | | | | | | | | | YES | NO |
| | | EP | 3 | 1 | 3 | 3 | 5 | 9 | 04/26/89 | Europe | | | | |
| | | EP | 2 | 1 | 8 | 5 | 7 | 2 | 04/15/87 | Europe | | | | |

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| EXAMINER | DATE CONSIDERED |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |